

DISCLOSURE NOTICE

Dear Client

Information that you as a client and/or potential client should be aware of:

In the spirit of transparency and to ensure that you have the information necessary to make an informed decision in terms of my role as your advisor and/or intermediary, I would like to provide you with some background regarding myself and the various business entities, as well as information required in terms of the FAIS Act (no 37, 2002).

Company Details:

Full Trading Name	Rockfin Wealth Management (Pty)Ltd		Myfin 1237 Financial Services (Pty)Ltd		Blue Anchor Insurance Brokers (Pty)Ltd	
Registration Number	2016/042040/07		2012/205235/07		1998/030290/23	
FAIS License Number	FSP: 13370		FSP: 44462		FSP: 6263	
FAIS license Categories & Conditions & Categories	Advice	Intermediary Services	Advice	Intermediary Services	Advice	Intermediary Services
Long Term Insurance: Category A	✓	✓	✓	✓		
Short Term Insurance: Personal lines	✓	✓	✓	✓	✓	✓
Long Term Insurance: Category B1	✓	✓	✓	✓		
Long Term Insurance: Category B2	✓	✓	✓	✓		
Long Term Insurance: Category C	✓	✓	✓	✓		
Retail Pension Benefits	✓	✓	✓	✓		
Short Term Insurance: Personal lines	✓	✓	✓	✓		
Securities and Instruments: Money market Instruments	✓	✓				
Participatory interests in Collective Investment Schemes	✓	✓	✓	✓		
Health Service Benefits	✓	✓	✓	✓		
Business Address	ROCKFIN: Netcash Square Unit 2 A1 64 Parklands Main Rd Parklands Cape Town		MY-FIN: Unit 8 Morning Glen Office Park, 140 Kelvin Drive Gallo Manor Sandton Gauteng		BLUE ANCHOR: Unit 8 Morning Glen Office Park, 140 Kelvin Drive Gallo Manor Sandton Gauteng	
Postal Address	PO Box 20429 Big bay 7441		PO Box 2124 Lonehill 2062		PO Box 2124 Lonehill 2062	
Telephone	087 135 4109		087 135 4102		087 135 4100	
Facsimile	086 726 6824		086 271 4200		086 271 4200	
Website Address	www.rockfin.co.za		www.my-fin.co.za		www.tcg-sa.com	
Compliance Officer	Assent Legal Solutions Freddie Eilers Unit 4 Northumberland House Parklands Main Rd Parklands 7441 T 021 557 8178 / T 082 556 9092		Associated Compliance Waldermar Barske Crux Consulting Co 3485 T 2711 274 5419 F 086 538 9949		Chameleon Compliance (Pty)Ltd Judy Russel-Smith T 083 297 1416 T 079 181 6191	

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Contact Details	Mike Eslick Managing Director mike@tcg-sa.com	Mike Eslick Managing Director mike@tcg-sa.com	Mike Eslick Managing Director mike@tcg-sa.co.za
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Financial Advisor Details:

Full Name	Mike Eslick
Cell Number	074 2477 949
Email Address	mike@my-fin.co.za
Qualifications	Matric (GR 12), NQF 5 Wealth Management
Experience	1983-2016
Legal Capacity	Financial Advisor / Wealth Planner

Accreditation:

From an accreditation perspective our FSP's I am not under supervision, have a full qualification and have mandates with the following companies and I am accredited to market and sell the listed products in the categories of Life Assurance, Short term Insurance, Investments, Healthcare and various value added products on their behalf.

Product Suppliers Represented:

Life Assurance	Short Term Insurance	Investment Houses	Health & Medical Scemes
Discovery Life Liberty Life Momentum Life Hollard/ Altrisk FMI Old Mutual Sanlam Brightrock	Santam Brolink Rodel Telesure SA Underwriters King Price Generic Discovery Inoovation Group	Allan Gray Momentum Wealth Stanlib Old Mutual Liberty Life Discovery Momentum Hollard ITransact Grant Thornton	Discovery Health Liberty Health Momentum Health Genesis Health Healthwise & Healthsure Oneplan & Onepet Stratum Gap Sirago Gap Turnberry Gap TIC

Remuneration and Shareholding:

In terms of shareholding, neither ROCKFIN, MY-FIN or BLUE ANCHOR have a shareholding of more than 10% in any company. The company has earned more than 30% of its total remuneration from the following product provider during the last calendar year:

Company Blue Anchor - Santam

All fees and commissions due to either ROCKFIN, MY-FIN & or BLUE ANCHOR will be paid to the relevant mandated and contracting entity by the product provider. Such remuneration will be disclosed to you and where fee based earnings are payable by you, such Information shall also be payable by you.

Complaints:

Should you, as the client, be dissatisfied with services rendered by me and or an advisor of any of the FSPs mentioned above, a complaint may be lodged in writing to the respective compliance officers for each entity as disclosed in this document. Our complaints procedures are available on our websites and may also be requested from our offices.

Conflict of Interests:

ROCKFIN, MY-FIN or BLUE-ANCHOR do receive business invitations from time to time. Our FSPs accept responsibility for those activities of the representative performed within the scope of their employment in terms of their service agreement. As such we further hold Professional Indemnity insurance cover in this regard which will be supplied upon request.

Please note that our privacy policy, terms and conditions and disclosures are available on our websites. Should you require any additional any additional information, kindly request so in writing and we shall be would be happy to provide it. Please contact me or our offices for any further information you may require.

Yours sincerely,

Mike Eslick